



Antigua and Barbuda Financial Services Regulatory Commission

The Corporate Management and Trust Service Providers Act, 2008 [Section 13] SCHEDULE I: APPLICATION FOR APPROVAL OF APPOINTMENT OF DIRECTOR, MANAGER OR OFFICER

Where a licensee is a corporation, no appointment of a director, manager or officer of a licensee shall be made without the prior written approval of the Commission as set out in this application. The Commission shall determine whether the director, manager or officer is a fit and proper person and shall reject or approve the appointment of the director, manager or officer of a licensee within 45 days of the receipt of the request for approval. If the Commission fails to respond in 45 days, the appointment of the director, manager or officer shall be deemed approved.

This schedule can be downloaded from the Commission's website in Adobe Acrobat format, and completed online. Alternatively, the form may be printed and completed with the use of a typewriter, or **black ink** and **BLOCK CAPITALS** or typescript. Any information provided on additional sheets must be signed and dated. Where there is a question which is not applicable, please write "N/A" beside the question. All dates must be completed in the form: Day/Month/Year. Questions left unanswered or do not disclose all information will affect the Commission's assessment, and may result in significant delays in processing.

1. Date of Application:

SECTION I. LICENSEE'S INFORMATION

2. Name of Licensee:

Licence Number:

3. Principal Office Information:

Address:

Contact Person:

Telephone Number:

Fax Number:

E-mail Address:

SECTION II. DETAILS ON NEWLY APPOINTED DIRECTORS

4. The following have been appointed as director(s) of the above-mentioned Licensee:

Name 1:

Schedule B Attached

Name 2:

Schedule B Attached

Name 3:

Schedule B Attached

Name 4:

Schedule B Attached

SECTION III. DETAILS ON REMOVAL OF EXISTING DIRECTORS

5. The following have been removed as director(s) of the above-mentioned Licensee:

Name 1:

Effective Date:

Name 2:

Effective Date:

Name 3:

Effective Date:

Name 4:

Effective Date:

SECTION IV. DETAILS ON CURRENT DIRECTORS

SCHEDULE I: APPLICATION FOR APPROVAL OF APPOINTMENT OF DIRECTOR, MANAGER OR OFFICER

6. The following are the current director(s) of the above-mentioned Licensee:

Name 1:		Effective Date:	
Name 2:		Effective Date:	
Name 3:		Effective Date:	
Name 4:		Effective Date:	
Name 5:		Effective Date:	
Name 6:		Effective Date:	

SECTION V. DECLARATION

This declaration must be signed by any two authorized signatories of the licensee.

I hereby certify that all the information provided on this form and any other document provided in support of said form is true and correct to the best of my knowledge and belief. I further undertake to inform the Commission without delay of any changes to this form and any information supplied with this form.

Authorized Name (1):			
Title/Position			
Authorized Signature		Date:	
Authorized Name (2):			
Title/Position			
Authorized Signature		Date:	

SECTION VI. ADMINISTRATION - FOR FSRC USE ONLY

Received by (employee's name):		Date:	
Decision taken by the Commission:			

Please forward completed form with any supporting material to:

Director of International Business Corporations

Financial Services Regulatory Commission

P.O. Box 2674 • Royal Palm Place • Friars Hill Road • St. John's, Antigua

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