



Vacancy Notice

The Financial Services Regulatory Commission invites eligible suitable applicants to apply for the position of Regulatory Team Leader

Pre-requisites:- As a pre-requisite the successful candidate will be required to have the following:-

MINIMUM QUALIFICATIONS

1. A Bachelors or Post Graduate degree in Banking, Finance, Economics or Accounting from a recognized institution.
2. Supplementary related Professional/Technical Training would be an asset. That includes the designations CFE, CPA, CFA and ACCA.
3. Ability to speak and understand Spanish would be an asset.

Experience:

1. Such as may have been gained through employment at the level of a financial institution.
2. Examiner (**with a minimum of 5 years**) conducting off-site supervision and on-site examinations of financial institutions in a responsible supervisory capacity in accounting or auditing work.

Or, any combination of education and experience that shall be substantially equivalent to the above education and experience.

A Regulatory Team Leader is the leader of a support team comprising of several members who provide support to the Regulatory Directors on the onsite and off-site examinations of a portfolio of financial institutions. He/She is required to coordinate the work flow within the team in such a way that the team achieves the required work standards and adhere to the set timelines for the Regulatory Department.

ESSENTIAL ROLES AND FUNCTIONS:

1. To plan and supervise a support team engaged in examinations into the financial conditions and affairs and/or the market conduct of financial institutions, for the purpose of ascertaining their ability to meet obligations and compliance with applicable Laws and Regulations.
2. To conduct off-site supervision and on-site examinations of the operations, books and records of financial institutions, and to serve as the leader in the absence of the Regulatory Director on a regular or assigned basis by coordinating and reviewing the work of the support team during the course of on-site examinations and to do related work as required.
3. To work under the general supervision of the Regulatory Directors.
4. To provide technical guidance/direction and assistance on a regular or assigned basis.
5. To prepare high quality reports within stipulated timeframe.
6. To compile consolidated statements, comparative statements, and similar statistical data on financial institutions.
7. To assist in the monitoring of macro and micro developments in the respective sector(s) and provide regulatory and supervisory guidance to the Regulatory Directors.

8. To review and examine applications, and conduct preliminary work as directed by the Regulatory Directors relating to applications for licensing of financial institutions.
9. To conduct research work from time to time to inform the review of guidelines, policies and reports.
10. To make presentations to the Regulatory Directors from time to time.
11. To do related work as required from time to time by the Regulator Directors and Executive.
12. To provide ongoing constructive feedback on the performance of team members as part of the performance appraisal process.

KNOWLEDGE, SKILLS, AND CAPACITIES:

1. A working knowledge of the provisions of applicable Laws and Regulations governing licensed financial institutions.
2. A working knowledge of the International Prudential Standards and other requirements for financial institutions under the regulatory and supervisory purview of the Commission.
3. A working knowledge of the principles and methods used in the examination of the records of financial institutions.
4. The ability to conduct onsite examinations of financial institutions and prepare reports thereon covering the scope and findings.
5. The ability to coordinate and review the work of team members.
6. The ability to provide technical guidance/direction and assistance to team members.
7. A high level of motivation, initiative and the ability to work effectively as part of a team.
8. Strong analytical and critical thinking skills.
9. Good networking and self-management abilities.
10. The ability to deliver high quality work and multitask under different situations.
11. A working knowledge of computerized business applications such as Microsoft Word, Microsoft Excel and Microsoft PowerPoint.
12. Good interpersonal and communication skills.
13. Commitment to ongoing personal self -development.
14. Strong time management skills.

- **The completed application along with supporting documents must be sent no later than September 18, 2024 to The Human Resources Manager, P.O. Box 2674, St. John's, Antigua OR Via email to Janice.Thomas@fsrc.gov.ag.**
- **Only candidates who are shortlisted for the selection process will be contacted.**
- **Shortlisted candidates will be required to undertake a written assessment.**